# 5G QoS: Impact of Security Functions on Latency

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Abstract-Network slicing is considered a key enabler to 5th Generation (5G) communication networks. Mobile network operators may deploy network slices—complete logical networks customized for specific services expecting a certain Quality of Service (QoS). New business models like Network Slice-as-a-Service offerings to customers from vertical industries require negotiated Service Level Agreements (SLA), and network providers need automated enforcement mechanisms to assure QoS during instantiation and operation of slices. In this paper, we focus on ultra-reliable low-latency communication (URLLC). We propose a software architecture for security functions based on offthe-shelf hardware and open-source software and demonstrate, through a series of measurements, that the strict requirements of URLLC services can be achieved. As a real-world example, we perform our experiments using the intrusion prevention system (IPS) Snort to demonstrate the impact of security functions on latency. Our findings lead to the creation of a model predicting the system load that still meets the URLLC latency requirement. We fully disclose the artifacts presented in this paper including peap traces, measurement tools, and plotting scripts at https://gallenmu.github.io/low-latency.

Index Terms—5G, network slicing, URLLC, IPS, QoS, latency, Linux, DPDK, operating system (OS)

#### I. INTRODUCTION

The flexibility and adaptability of 5G are considered its main features enabling the creation of dedicated wireless networks customized for specific applications with a certain level of QoS. The International Telecommunication Union (ITU) identified three distinct services for 5G networks [1]: enhanced mobile broadband (eMMB): a service comparable to LTE networks optimized for high throughput; the massive machine type communication (mMTC): a service designed for spanning large IoT networks optimized for a large number of devices with low power consumption; and the ultra-reliable lowlatency communication (URLLC): a service for safety-critical applications requiring high reliability and low latency. These different services can be realized by slicing the network into distinct independent logical networks which can be offered as a service adhering to customer-specific SLAs, called Network Slice-as-a-Service. A cost-efficient way to realize network slices is the shared use of network resources among customers, e.g. virtualization techniques used on off-the-shelf servers. This makes virtualization and its implications on performance one of the crucial techniques used for 5G. Virtualization is the natural enemy of predictability and low latency [2] posing a major obstacle when realizing URLLC. In this paper, we investigate if and how the seemingly contradictory optimization goals virtualization and resource sharing on the one side and low latency and high predictability on the other side can go together. The goals of our investigation are threefold: (i) creating a low-latency packet processing architecture for security functions with minimal packet loss, (ii) conducting extensive measurements applying hardware-supported timestamping to precisely determine worst-case latencies, and (iii) introducing a model to predict the capacity of our low-latency system for overload prevention. Our proposed system architecture relies on well-known applications and libraries such as Linux, the Data Plane Development Kit (DPDK), and Snort. Besides the specific measurements for the Snort IPS, we investigate the performance of the underlying operating system (OS) and libraries in use, namely Linux and DPDK, which emphasizes that our results are not limited to Snort but are highly relevant to other low-latency packet processing applications.

The remainder of the paper is structured as follows: Section II demonstrates the need for a new system design of security functions. Background and related work are presented in Section III. In Section IV we describe our novel system architecture which is evaluated in Section V. In Section VI we present our model for overload prediction. Considerations about the limitations and the reproducibility of our system architecture are given in Sections VII and VIII. Finally, Section IX concludes the paper by summarizing the most relevant findings and proposes enhancements for future work.

## II. MOTIVATION

The ITU [1] defines the requirements for the URLLC service as follows: the one-way delay of 5G radio access networks (RAN) from source to destination must not exceed 1 ms and the delivery success rate must be above 99.999%. We demonstrate how security functions facing input/output (IO) events, interrupts, and CPU frequency changes behave concerning the challenging URLLC requirements.

The following example uses Snort as an inline intrusion prevention system, i.e. every packet has to pass through Snort which subsequently influences the delay of every packet. For this example, all filtering rules are removed turning Snort into a simple forwarder that is not influenced by any rule processing. Therefore, the observed behavior represents a best-case scenario providing a lower latency bound for Snort IPS execution. Snort runs in a Virtual Machine (VM) providing a realistic multi-tenant setup for 5G networks. The packet rate is set to  $10\,\mathrm{kpackets/s}$ , a moderate system load without any packet drops. The measurement runs  $30\,\mathrm{s}$ . As we are not interested in the latency spikes caused by the application start-

TABLE I: Latencies of a Snort Forwarder

		n-th percentiles					
	50	99	99.9	99.99	99.999		
Fwd	69 µs	88 μs	107 μs	$1.7\mathrm{ms}$	$2.5\mathrm{ms}$		

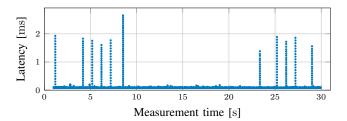


Fig. 1: Snort forwarder worst-case latencies

up, we exclude the first second of measurements from Figure 1 and Table 1.

Table I shows the percentiles of latency we measured. Up to the 99.9th percentile, the observed latency budget is low enough to allow additional packet processing tasks while still meeting URLLC requirements. For higher percentiles, the latency budget is already exceeded by the basic forwarder. Figure 1 shows a scatter plot displaying the 5000 worst-case latencies measured over 30 s. We see that latencies exceeding the 1 ms latency budget are not only occurring at the beginning of a measurement due to cache warm-up or other ramp-up effects but in an irregular and unpredictable pattern throughout the entire measurement. The latency spike pattern did not change over time. Therefore, we consider this being the steady-state behavior of our investigated application.

Thus, a different system design for security functions is needed to meet the strict requirements of URLLC services. In the following, we demonstrate techniques and frameworks creating a low-latency software stack to show that meeting URLLC requirements is possible while using the same hardware as for this motivating example.

#### III. BACKGROUND AND RELATED WORK

After introducing the challenges of 5G, this section focuses on techniques impacting the delay and jitter caused by software packet processing systems.

a) URLLC in Industry 4.0: 5G has a strong focus on industrial Internet of Things (IoT) like Industry 4.0. These industrial networks will be highly heterogeneous in the sense of functionality, performance, and security protection. Network slicing is considered as a mechanism to handle the diverse set of requirements to the network and it is a challenge to construct slices with strict end-to-end (e2e) latency and reliability guarantees: industrial applications like control and alarm systems may require an e2e-latency in the range of 0.5 to 5 ms [3]. To address the problem, wireless URLLC is introduced in 5G and requires a new system design for the radio access network, called new radio (NR). The 3rd Generation Partnership Project (3GPP) standardization organization studied the URLLC requirements for NR [4]. But

URLLC is not just about new radio. Besides the importance of latency and jitter, URLLC use cases like factory automation, remote robotics, and smart city automation have stringent requirements on availability [5]. Thus, URLLC is reflected in new concepts for operation and maintenance (O&M) including intelligent monitoring of network failures and cybersecurity attacks, surveillance, and instant actions.

Today, solutions to perform automated security management in and across slices while guaranteeing performance and security requirements are missing. Our target is the definition of an architecture and mechanisms for security (monitoring) functions to guarantee the QoS during design, deployment, and modification of slices for URLLC use cases.

b) Polling vs. interrupts: One possible cause for OS interrupts is the occurrence of IO events, e.g. arriving packets, to be handled by the OS immediately. Interrupt handling causes short-time disruptions for currently running processes. The ixgbe network driver and Linux employ moderation techniques to minimize the number of interrupts and therefore the influence on processing latency [6]. Both techniques were introduced as a compromise between throughput and latency optimization. For our low-latency design goal, neither technique is optimal, as the interrupts-although reduced in numbers—cause irregular variations in the processing delay which should be avoided. DPDK [7], a framework optimized for high-performance packet processing, prevents triggering interrupts for network IO entirely. It ships with its own userspace driver which avoids interrupts but polls packets actively instead. This leads to execution times with only little variation also due to DPDK's preallocation of memory and a lack of costly context switches between userspace and kernelspace. However, polling requires the CPU to wake up regularly increasing energy consumption. The Linux Kernel's XDP offers similar capabilities to DPDK [8]. We focus on DPDK as a DPDK-enabled IPS is already available [9].

c) CPU features: Numerous guides list CPU and OS features leading to unpredictable behavior for application performance on which the following recommendations are based on [10]-[12]. HyperThreading (HT) or simultaneous multithreading (SMT) is a feature of modern CPUs that allows addressing physical cores (p-cores) as multiple virtual cores (v-cores). Each p-core has its own physically separate functional units (FU) to execute processes. However, multiple v-cores are hosted on a p-core sharing FUs between them. Zhang et al. [13] demonstrate that sharing FUs between vcores can impact application performance when executing processes on v-cores instead of the physically separate p-cores. Another feature of modern CPUs are sleep states which lower CPU clock frequency and power consumption. Switching the CPU from an energy-saving state to an operational state leads to wake-up latencies. Schöne et al. [14] measured wake-up latencies between 1 µs and 40 µs for Intel CPUs depending on the state transition and the processor architecture.

Despite having physically separate FUs, p-cores share a common last level cache (LLC). Therefore, processes running on separate p-cores can still impact each other competing on the LLC. Herdrich et al. [15] observed a performance penalty of 64% for a virtualized, DPDK-accelerated application when running in parallel with an application utilizing LLC heavily. The uncontended application performance can be restored for the DPDK application by dividing the LLC statically between CPU cores utilizing the cache allocation technology (CAT) [15] of modern Intel CPUs.

d) OS features: Besides interrupts caused by IO events, an OS uses interrupts for typical tasks such as scheduling or timers. Patches for the Linux kernel [16] were introduced to create a more predictably behaving kernel, e.g. by reducing the interrupt processing time. Major distributions, such as Debian, provide this, so-called PREEMPT\_RT kernel as part of their package repository. Besides, the Linux kernel offers several command-line arguments influencing latency behavior. Cores can be excluded from the regular Linux scheduler via isolcpu. Isolated CPU cores should be set to rcu\_nocb lowering the number of interrupts for the specified cores.

e) Low-latency VM IO: Transferring packets into/out of a VM leads to significant performance penalties compared to bare-metal systems. Emmerich et al. [2] compared packet forwarding in bare-metal and VM scenarios, demonstrating that VMs can introduce high tail latencies of 350 µs and above. They also demonstrated that DPDK can help improving forwarding latencies but must be used on the host system and the VM. Furthermore, modern network interface cards (NICs) supporting single root IO virtualization (SR-IOV) can be split into several independent virtual functions, which can be used as independent NICs and can be bound to VMs exclusively. In this case, virtual switching is done on the NIC itself, minimizing the software stack involved in packet processing. In an investigation by Lettieri et al. [17] SR-IOV, among other techniques for high-speed VM-based network functions, is one of the fastest techniques with the lowest CPU utilization. Therefore, latency performance of SR-IOV is superior to software switches, e.g. Xu and Davda [18] measured an almost 10-fold increase of worst-case latencies for a software switch. Xiang et al. [19] create and evaluate an architecture for lowlatency network functions. Their architecture provides submillisecond latencies, but they do not investigate the worstcase behavior. Zilberman et al. [20] give an in-depth latency analysis of various applications and switching devices. They stress the need for tail-latency analysis to comprehensively analyze application performance.

The topic of VM-based network functions has been extensively researched in literature [17]–[19]. However, given our motivating example in Section II and the importance of the URLLC service, we argue, similar to Zilberman et al. [20], that the crucial worst-case behavior needs close attention. Hence, we aim to create the lowest latency system achievable utilizing available applications on off-the-shelf hardware.

There are also embedded systems such as jailhouse [21] or PikeOS [22] being able to partition the available hardware providing realtime guarantees for user processes or VMs. However, they are either not compatible with standard Linux interfaces such as libvirt or replace the host OS entirely.

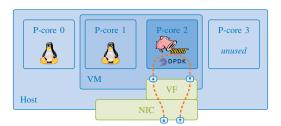


Fig. 2: System architecture overview

Therefore, the tool support for these specialized hypervisors is worse compared to the more widespread solutions such as Xen or KVM utilizing the libvirt software stack. Thus, we do not consider these specialized solutions for this work but rely on well-established software tools and hardware.

## IV. SYSTEM ARCHITECTURE

We investigate the performance of basic components such as RT Linux, kvm, DPDK, SR-IOV, and CAT. We demonstrate the system architecture of a single server uses these basic components to run low-latency applications. Our results are also relevant to large scale cloud deployments comprised of the same components, e.g. OpenStack.

Figure 2 shows the structure of our low-latency VM running on a CPU with four physical cores (p-cores), following the optimizations presented in Section III: disabling SMT to avoid any influence of v-cores, using a PREEMPT\_RT kernel on the host and the VM to minimize interrupt latencies for the virtualized packet processing application, and utilizing core isolation to dedicate cores to specific processes to minimize the QoS impact between cores and applications running on them. The OS of the *host* is restricted to p-core 0 isolating pcores 1 and 2 for exclusive VM usage. On the VM itself, the OS runs on p-core 1 exclusively isolating p-core 2. P-core 2, isolated from both host and VM, runs DPDK and Snort. The core isolation feature complements DPDK's design philosophy of statically pinning packet processing tasks to cores. Utilizing SR-IOV, the NIC is split into virtual functions (VF). One VF is passed through to the VM attached to p-core 2. The critical network path and its associated CPU resources are isolated from OS tasks providing a stable service for latency-critical processes. We disable the energy-saving states in the BIOS to avoid any delays caused when waking up the CPU. We use Intel CAT to statically assign LLC to cores.

## V. EVALUATION

The following measurement series characterizes the latency behavior of the proposed architecture.

## A. Setup

Figure 3 shows the setup used for testing based on three machines. The Device under Test (DuT) runs Snort forwarding traffic between its physical interfaces, the other two machines run the packet generator MoonGen [23]. The load generator (LoadGen) acts as traffic source/sink generating/receiving the test traffic, the third machine (timestamper) is monitoring

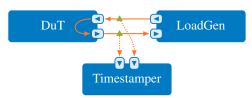


Fig. 3: Setup with Snort as a Device under Test (DuT), MoonGen as a LoadGen, and a Timestamper

the entire traffic received/sent by the DuT. The timestamper monitors the traffic between DuT and LoadGen via passive optical Terminal Access Points (TAP), timestamping every packet in hardware with a 12.5 ns resolution [24]. Being passive, the optical TAPs do not introduce variation to the timestamping process. Timestamping every single packet only works for receiving ports. Therefore, we timestamp on a separate host instead of the LoadGen itself.

The three servers are equipped with Supermicro mainboards (X10SDV-TP8F) featuring Intel Xeon D-1518 CPUs (4 cores, 2.2 GHz) and onboard Intel X552 NICs (dual-port SFP+, 10G Ethernet). On the DuT we use Debian buster (kernel v4.19) as OS, KVM as hypervisor, and the current beta of Snort (v3.0.0) [25] together with a DPDK-enabled data acquisition plugin (daq, v2.2.2) [9]. Section VIII lists the repositories, commit ids of the investigated applications, configuration data, and used measurement tools. The VM configuration is shown in Figure 2. Via Intel CAT [15], we pin 4 MiB of the LLC to the core running the packet processing application, the remaining 2 MiB are shared among the other cores.

We opt for UDP-only test traffic to prevent TCP congestion control from impacting the measured latency. The UDP destination port is set to 53 to trigger Snort's rules for DNS processing. The payload of our generated traffic does not contain DNS information but a counter to efficiently track packet loss and forwarding latency. We use constant bit rate traffic (CBR) for testing and dedicate Section V-D to measure the impact of bursty traffic.

### B. Measurements

The following measurements investigate the performance of our proposed architecture regarding the URLLC requirements. Therefore, we aim for a packet delivery rate above 99.999 % and a latency below 1 ms. We do not replicate the entire e2e communication path of 5G but only a security function located in the 5G backend network, therefore we aim for a lower latency goal. Faced with a similar problem Xiang et al. [19] calculated a latency goal of 350 µs for their network function chain. In this paper, we apply the same latency goal to our measurements quantifying the performance of Snort. We try to isolate the influence of the IO framework (DPDK), Snort overhead, and rule processing through separate measurements. Therefore, we test three related packet forwarding applications: (i) DPDK-l2fwd, being the most simple forwarder in our comparison, representing the minimum latency of IO without any processing happening; (ii) Snort-fwd, forwarding packet

with Snort on top of DPDK, which quantifies the overhead caused by Snort without any traffic filtering happening; and (iii) Snort-filter, applying the Snort 3 community ruleset [26] to the forwarded traffic. The filter scenario does not drop any packet, because we are only interested in the overhead caused by rule application.

We measure between 10 and 120 kpackets/s incremented in 10 kpackets/s steps. Due to space limitations, we only show three selected rates for every scenario in Table II. For each scenario, we list the minimal rate of 10 kpackets/s, the last rate before overloading the DuT, and the first rate when the DuT was overloaded. The actual packet rates depend on the individual scenario. Being able to process millions of packets per second without overloading, we could not overload the DPDK forwarder within the selected packet rates [27]. Therefore, we present 10, 60, and 120 kpackets/s representing low, medium, and maximum load in this case.

- 1) Hardware: Initially, we test the forwarding applications in a non-virtualized setup to measure the performance baseline (cf. Table II, mode: HW).
- a) DPDK-l2fwd: We measure the behavior of the DPDK forwarder for packet rates of 10, 60, and  $120\,\mathrm{kpackets/s}$ . The median forwarding latency is  $3.1\,\mathrm{\mu s}$  and increases slightly to a maximum of  $3.4\,\mathrm{\mu s}$  for the 99th percentile indicating a stable latency behavior. Only the rare tail latencies, i.e.  $\geq$  99.9th percentile increase to a maximum value of  $16.0\,\mathrm{\mu s}$ . The overall latency values do not differ significantly between measurements. We did not observe any packet loss for the three tested rates.
- b) Snort-fwd: Running Snort on top of DPDK increases latency significantly. The median for rates of 10 and 80 kpackets/s is almost the same with 14.5 and 14.4 µs respectively. This new median is almost as high as the worst-case latency for the DPDK forwarder. Tail latencies increase further and seem to depend on the packet rate, i.e. tail latencies increase for higher packet rates. At a rate of 80 kpackets/s, packet drops can occur. A closer analysis shows that a consecutive sequence of packets is lost only at the beginning of the measurement, despite the previous warm-up phase. As packet loss does not occur later, we do not consider this configuration as an overload scenario. We consider the rate of 90 kpackets/s as an overload scenario which is characterized by the noticeable packet loss (3.3%) and the over thousandfold latency increase compared to the median latency of the previous measurements. The latency increase in the overloaded scenario is the result of packets not being processed fast enough leading to buffers filling up. Therefore, the worst-case latency remains at this high level for all observed percentiles.
- c) Snort-filter: For this measurement, the Snort forwarder applies the community ruleset. Rule application introduces additional costs resulting in a latency offset of roughly 3 µs compared to the previous measurement at 10 and 60 kpackets/s. Only the worst-case latencies differ noticeably for the latter. The overload scenario already occurs at a lower rate of 70 kpackets/s due to the higher processing

TABLE II: Latencies of different software systems

	Mode	Rate	Loss	n-th percentiles					
		[kpackets/s]	[%]	50	99	99.9	99.99	99.999	Max.
	HW	10	-	3.1 µs	3.4 µs	7.7 µs	12.2 μs	13.4 µs	13.6 µs
	HW	60	-	$3.1\mathrm{\mu s}$	$3.3\mathrm{\mu s}$	$8.3\mathrm{\mu s}$	$13.5\mu\mathrm{s}$	$14.4\mathrm{\mu s}$	16.0 µs
DPDK-12fwd	HW	120	-	$3.1\mathrm{\mu s}$	$3.3\mathrm{\mu s}$	8.1 μs	$13.2\mu\mathrm{s}$	$14.3\mu\mathrm{s}$	$14.6\mathrm{\mu s}$
<i>51511121</i> u	VM	10	_	$3.3\mu s$	$4.0\mu\mathrm{s}$	$14.7  \mu s$	$17.6  \mu s$	$19.0\mu\mathrm{s}$	$19.2  \mu s$
	VM	60	-	$3.3\mathrm{\mu s}$	$4.0\mathrm{\mu s}$	$15.4\mathrm{\mu s}$	$18.9\mu\mathrm{s}$	19.9 µs	$21.5\mu s$
	VM	120	-	$3.3\mathrm{\mu s}$	$3.9\mathrm{\mu s}$	$16.6\mathrm{\mu s}$	$20.2\mu \mathrm{s}$	$21.3\mu\mathrm{s}$	$22.9\mu\mathrm{s}$
	HW	10	_	14.5 µs	24.7 μs	29.7 μs	32.4 µs	33.1 μs	33.1 µs
	HW	80	0.1	$14.4\mathrm{\mu s}$	29.9 μs	$43.7\mathrm{\mu s}$	$46.2\mathrm{\mu s}$	$47.7\mathrm{\mu s}$	$50.6\mathrm{\mu s}$
Snort-fwd	HW	90	3.3	$30609.5\mathrm{\mu s}$	$30834.8\mu{\rm s}$	$30882.7\mu s$	$30915.3\mu\mathrm{s}$	$30936.1\mu { m s}$	30 959.1 μs
Short Twa	VM	10	_	$15.9  \mu s$	$37.6\mu\mathrm{s}$	$58.6\mu\mathrm{s}$	66.8 µs	$68.0\mathrm{\mu s}$	68.6 µs
	VM	80	0.1	18.8 µs	$73.9\mathrm{\mu s}$	$98.8\mathrm{\mu s}$	$115.6\mathrm{\mu s}$	117.7 μs	121.9 µs
	VM	90	7.1	$2469.6\mathrm{\mu s}$	$2657.9\mu\mathrm{s}$	$2679.8\mathrm{\mu s}$	$2692.2\mu\mathrm{s}$	$2700.6\mu\mathrm{s}$	$2708.3\mu\mathrm{s}$
	HW	10	-	17.4 µs	28.2 μs	33.1 µs	35.8 µs	36.4 µs	36.6 µs
	HW	60	0.0	17.1 µs	29.0 μs	$34.1\mathrm{\mu s}$	$36.1\mathrm{\mu s}$	$50.4\mathrm{\mu s}$	51.5 µs
Snort-filter	HW	70	0.0	$79.0\mathrm{\mu s}$	$24897.2\mathrm{\mu s}$	$27521.2\mathrm{\mu s}$	$27847.0\mu\mathrm{s}$	$27947.1\mu s$	$27992.9\mu s$
Short inter	VM	10	_	$18.4\mu\mathrm{s}$	$40.9\mu\mathrm{s}$	$63.1  \mu s$	71.8 µs	$73.4\mu\mathrm{s}$	$73.7  \mu s$
	VM	60	0.1	17.5 µs	$62.2\mathrm{\mu s}$	$92.9\mathrm{\mu s}$	101.1 µs	$114.7\mu s$	115.7 µs
	VM	70	3.0	$3036.9\mu s$	$3270.2\mu s$	$3294.4\mu s$	3313.1 µs	$3326.8\mu s$	$3342.5  \mu s$

complexity indicated by the high tail latencies. Loss rates and median would still be tolerable. However, the tail latencies show an increase by a factor of over 1000 compared to the median. Note that when comparing the load scenarios before overloading this forwarder processes packets with a lower latency than its respective counterpart for the Snort forwarder. We attribute this to the relative load which is higher for the Snort-fwd, i.e. it is more overloaded at 90 kpackets/s than the Snort-filter at a rate of 70 kpackets/s.

- 2) Virtualization: Processing packets in virtualized environments can have a significant impact on latency. To measure the impact, we repeated the previous measurements in a virtualized environment (cf. Table II (Mode: VM), Figure 2).
- a) DPDK-l2fwd: In the virtualized environment, latency increases compared to the non-virtualized measurements. The median latency increases by 6%, but the tail latencies can increase by almost 60%. Table II shows that up to the 99th percentile packet rate has little influence on latency. For higher percentiles, a trend towards higher latencies seems to manifest.
- b) Snort-fwd: Compared to its hardware counterpart latency increases by 30% for the median and up to more than 100% for the tail latencies. We observe the same initial packet loss in the non-overloaded case. Packet loss in the overloaded case is higher, as packet processing is more expensive in the VM for the same packet rate. In the overloaded case latencies are over 10 times lower compared to the hardware measurements still violating the 1 ms goal. Measurements show that enabling SR-IOV leads to the decrease for worst-case latencies due to smaller buffers, an observation confirmed by Bauer et al. [28].
- c) Snort-filter: Comparing Snort-filter in virtualized and non-virtualized environments shows that the median latency increase is  $\leq 1\,\mu s$ . The values for the tail latencies increase by a factor of two or more for the virtualized environment.

Looking at Table II we can conclude that URLLC-compliant latency is only violated if the DuT is overloaded. Overload latencies rise by a factor of 1000 for the HW scenario and by a factor of 100 for the VM scenario. Without overloading the system, the latencies are below URLLC requirements even for the most challenging scenario. When considering the worst-case scenario, Snort-filter (HW), a latency of 50.4 µs at the URLLC-required 99.999th percentile was measured. The overall observed worst-case latency for the VM scenario for the 99.999th percentile is 117.7 µs. Despite the latency difference of over 100% between HW and VM, both worst-case scenarios—HW and VM—do not violate our latency goal of 350 µs. In fact, the remaining latency budget allows for even more complex packet processing tasks.

## C. Tail latencies

Previously, we have shown that the measured tail latencies in the non-overloaded scenario do not impair URLLC latency goals. In this section, we want to investigate the effects causing the tail latencies to exclude potentially harmful consequences such as latency spikes or even short-term overload. Increased tail latencies are already present in the DPDK-12fwd scenario indicating that their causes are already part of the basic packet processing steps. We investigate the differences between the bare-metal deployment and the virtual environment.

a) HW: We analyze the tail latencies in the non-overloaded scenarios. Figure 4a shows a scatter plot of the 5000 highest latency events measured over 30 s. The figure shows a horizontal line at approx. 3.4 µs, the area where the majority of latency events happen matching the 99th percentile given in Table II, Line 1. Above this horizontal line, a linear regular pattern over the 30-second measurement period is visible. We assume that the latency events above the horizontal

line of  $3.4\,\mu s$  are a result of packets being delayed due to interrupt processing in the OS.

A closer investigation identified this pattern above the horizontal line as an interplay of two clocked processes— OS interrupt generation on the DuT and generated CBR traffic pattern on the LoadGen. The pattern is created by an effect known as aliasing. Here we use the generated traffic as sampling process trying to detect interrupts. As the interrupts are too short ( $\leq 13.6 \,\mu s$ ) to be correctly detected at the generated traffic rate (100 µs inter packet gap) we undersample leading to the observed pattern. The OS interrupt counters (/proc/interrupts) revealed local timer interrupts (loc) and IRQ work interrupts (iwi) to be the only interrupts triggered on the packet processing core of the DuT during operation. We measured, using the time stamp counter (TSC) of our CPU, constant execution times of 8.2 µs for the iwi and 5.5 µs for the loc. The two different execution times are visible in Figure 4a as longer and shorter lines. Their maximum values of 10.9 and 13.6 µs differ because additional tasks such as packet IO and context switches are included. Packets were generated at a rate of 10 kHz, and we measured interrupts being generated at a rate of 250 Hz. Locs and iwis happen in a regular pattern, an iwi is triggered after every second loc.

To verify if the interrupts are the cause of the observed pattern, we create a script simulating the described process using the measured frequencies and processing times. Figure 4a shows similar patterns for the simulation confirming our assumptions. Measurement and simulation are highly sensitive to the measured maximum values, the traffic rates, and the interrupt rate. Even little parameter changes, e.g. restarting the load generator, can lead to changes in the generated traffic rate and therefore lead to different patterns. The same happens if the traffic rate is increased or lowered. This means that repeating the same measurements may lead to patterns with different shape and orientation. However, a regular pattern can be observed as long as the interrupt process is undersampled.

b) VM: Figure 4b shows the 5000 highest latency events measured for the DPDK-l2fwd (VM) scenario. The entire graph is shifted, the horizontal line is shifted to approx.  $4.4\,\mu s$ , the long interrupt latency is approx.  $19\,\mu s$ , the shorter approx.  $16\,\mu s$ , indicating the higher overhead when running in a VM. The number of events above the horizontal line roughly doubled. This can be explained that now two OSes (VM host and VM) trigger interrupts. We observed the same interrupts for the VM host as in our HW measurement, for the VM OS we only observed loc interrupts triggered at a rate of  $250\,\mathrm{Hz}$ .

Despite our efforts to lower the number of interrupts by applying DPDK, there still remain a number of interrupts triggered by the OS itself causing latency spikes. Due to their scarcity and limited duration, we do not consider them being harmful to our pursuit of building a latency-optimized system when considering the URLLC latency goals.

## D. Influence of batch sizes

All previous measurements use CBR traffic. For CBR the pauses in between packets can be used for packet processing

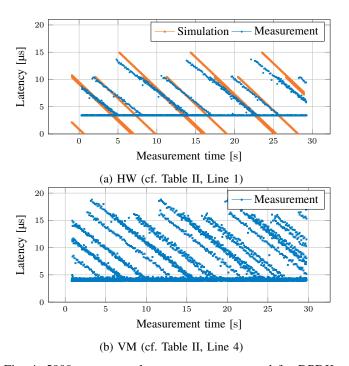


Fig. 4: 5000 worst-case latency events measured for DPDK-12fwd at 10 kpackets/s

without delaying subsequent packets and leading to optimal latency results. However, real traffic may arrive in bursts of packets without pauses between them. There, packet processing may delay subsequent packets. The following measurements show the impact of bursty traffic on the latency.

We define a block of packets arriving back-to-back on the wire as a burst and a block of packets being accepted or processed on a device as batch. Batched packet processing leads to higher throughput for packet processing frameworks like DPDK [27]. The DPDK-enabled Snort accepts batches of up to 32 packets, processes them, and then releases the batch of packets only after all batched packets have been processed. Figure 5a shows the results of this processing strategy for different batch sizes. All graphs show areas of very steep increases indicating a large number of packets sharing the same latency, i.e. a batch of packets is sent out. Starting with batch size 4 flat areas become visible indicating that no packets were observed with this latency, i.e. the batch is processed without any packet sent out. The flat areas are followed by steep increases where the batches are sent out and the flat areas grow with increasing batch sizes as batch processing times increase. For a 64-packet burst, a two-step pattern is observed as two batches of 32 packets are processed in sequence. The plots show few packets with lower latency for every burst size. This happens if only a few packets of a burst are put into a batch, processed, and sent out before the remaining packets of the burst are processed.

As already processed packets are delayed until the batch is fully processed, the median delay is raised significantly. For low-latency optimized systems, smaller batch sizes can

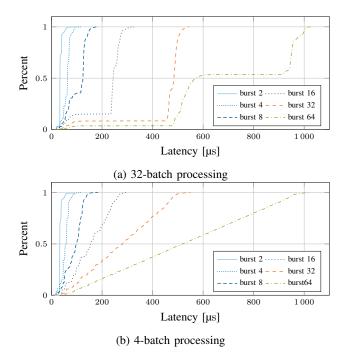


Fig. 5: Latency when forwarding using Snort-filter (VM) at  $10\,\mathrm{kpackets/s}$  for different burst sizes

be beneficial. Therefore, we change the batch size from 32 to the minimal DPDK-supported batch size of 4. The results can be seen in Figure 5b, where the CDFs display a linear trend for growing burst sizes. This results in a significantly lower median for burst sizes of 16 and above with little influence on the maximum observed latency.

We have shown that the blocking behavior of this batchprocessing strategy may increase latency unnecessarily. For low-latency systems, small batch sizes or even no-batch processing decrease latency. However, large bursts may cause latency violations due to short-time overload scenarios. In our case, burst sizes of 32 and 64 lead to latencies not meeting the URLLC criteria any longer for the chosen scenario.

### E. Energy consumption

Our proposed low-latency configuration requires deactivating energy-saving mechanisms. Therefore, we compare the system configuration used for testing with a configuration with default BIOS settings and kernel arguments for energy saving enabled. For the power measurement, we use the metered power outlet Gude Expert Power Control 8226-1. We measure the power consumption of the entire server.

Table III lists the measured power values. We observe no differences in power consumption between the different applications (DPDK, Snort-fwd, Snort-filter). We measure the server while idling, while the application is in an available state, and while the application is actively processing packets. With power saving enabled there is a 14-watt difference between idle and transmitting state and a 3-watt difference between running and transmitting. The latter, rather low difference is a consequence of DPDK's design, relying on

TABLE III: Power consumption

Power saving	Idle	Available	Processing
enabled	31 W	$\begin{array}{c} 42\mathrm{W} \\ 47\mathrm{W} \end{array}$	45 W
disabled	46 W		47 W

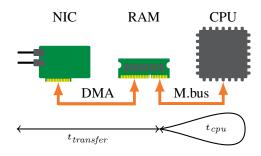


Fig. 6: Sources of delay on modern architectures

active polling, therefore, keeping the system (re-)active even without packet transfer. This intentional design decision of DPDK makes it a well-suited framework for high-performance scenarios where energy consumption is always high, however, DPDK is a poor choice for scenarios with low load because of the high energy consumption.

Disabling power saving increases the previous maximum power consumption by 1 W for the idle state and by 2 W for the other states. Comparing power saving enabled and disabled shows that low-latency configuration does not come for free. In scenarios with long idling periods, power consumption and therefore costs raise up to 48%. For other load scenarios, the increase is lower (12% and 4%), i.e. if system load is already high for the traditional systems the additional costs for the low-latency configuration are significantly lower. The CPU used in our test system has a thermal design power (TDP) of 35 W, running more powerful CPUs with energy saving disabled may introduce even higher differences between idle and running states and therefore higher costs.

#### VI. MODEL

Our measurements have shown that the packet processing system must not be overloaded to adhere to URLLC requirements. Therefore, we deduce a model calculating the maximum packet rate our system can handle without overloading.

Figure 6 shows the path of a packet through the different system components and their according time consumptions t. The time a packet travels through the system  $(t_{transfer})$  includes delays caused by propagation, serialization, and the transfer from NIC to RAM.  $t_{CPU}$  denotes the time the CPU processes the packet. As packets are received and sent, the path of a packet involves  $t_{transfer}$  twice assuming symmetrical receiving and sending delays. This leads to Equation 1 for calculating the e2e delay of a single packet.

$$t_{e2e} = t_{cpu} + 2t_{transfer} \tag{1}$$

In previous work [27] we demonstrated that the CPU is the main bottleneck in software packet processing. Especially

TABLE IV: Calculated CPU times and maximum rate

	Г	PDK-12fwd	Med. latency	CPUtime	Max. Rate
		$2t_{transfer}$ [ $\mu s$ ]	$t_{e2e} \ [\mu  m s]$	$t_{CPU} \ [\mu  m s]$	$R_{max}$ [kpkts/s]
Sfwd	HW VM	3.1 3.3	14.5 15.9	11.4 12.6	87.4 78.7
Sfilter	HW VM	3.1 3.3	17.4 18.4	$14.3 \\ 15.1$	69.7 65.6

TABLE V: Trigger rates (r) & delays (d) of interrupts

	loc <sub>host</sub>		iwi <sub>host</sub>		loc <sub>VM</sub>		$d_{\Sigma}$ per s
	r [Hz]	$d~[\mu s]$	r [Hz]	$d [\mu s]$	r [Hz]	$d [\mu s]$	[µs]
HW	166.7	10.9	83.3	13.6	-	-	2949.9
VM	166.7	17.5	83.3	19.2	250	17.5	8891.6

considering the low packet rates ( $\leq 120\,\mathrm{kpackets/s}$ ) neither the Ethernet bandwidth, the NIC, nor the involved system busses are overloaded. Subsequently, it is crucial to determine the required calculation time on the CPU  $t_{CPU}$  for calculating the maximum packet rate. Table II lists the measured e2e delays of the packets ( $t_{e2e}$ ) not  $t_{CPU}$ . However, the DPDK-12fwd scenario—representing the most basic forwarder possible without any processing for the packet—involves only a minimal amount of  $t_{CPU}$ . Therefore, we can use the median value of the DPDK-12fwd measurement as an approximation of  $2t_{transfer}$ . Using that information, we can calculate an approximation of  $t_{CPU}$  for Snort-fwd and Snort-filter, by deducting the median measured in the DPDK-12fwd scenario from their respective e2e delays. The results of the approximated  $t_{CPU}$  are given in Table IV.

Section V-C shows that a CPU core also performs interrupts. Depending on the scenario, the interrupt rates, and the costs of the individual interrupts Table V lists CPU time spent on interrupts per second  $d_{\Sigma}$ . Knowing the amount of CPU time spent on packet processing per packet  $t_{CPU}$  and the CPU time spent on interrupt processing per second  $d_{\Sigma}$  the Equation 2 can be deduced. Maximum packet rates calculated according to this equation are listed in Table IV.

$$R_{max} = \frac{1 \,\mathrm{s} - d_{\Sigma}}{t_{CPU}} \tag{2}$$

Comparing the calculated maximum rates in Table IV with the actual maximum rates measured in Table II shows that Equation 2 can predict the overload correctly for three out of four scenarios. For the Snort-fwd (VM) scenario the maximum rate is underestimated with the overload not happening at the predicted rate 78.7 kpackets/s but beyond 80 kpackets/s. We conclude that the prediction approximates a lower bound for the maximum packet rate. A conservative approximation is advisable in this scenario especially considering the devastating impact of overload on latency and QoS.

# VII. LIMITATIONS

Despite its benefits in terms of latency and jitter the proposed architecture has disadvantages. Statically assigning VMs

to cores does not allow sharing a CPU core between several VMs, at least not the isolated cores dedicated to realtime applications. This increases hosting costs for such a VM. Migrating VMs or scaling the VM setup is not possible as SR-IOV does not allow VM migration due to the non-trivial replication of the NIC's hardware state [18]. Disabling energy-saving mechanisms increases energy costs for the server (cf. Section V-E), air conditioning, and increases the thermal load on the hardware which in turn may require earlier replacement additionally raising costs.

## VIII. REPRODUCIBILITY

As part of our ongoing effort towards reproducible network research, we release the pcap traces and plotting tools used in the measurements of this paper. Further, we release our measurement tools and source code of the investigated software including a detailed description for others to replicate our measurements on https://gallenmu.github.io/low-latency. Figures 1, 4 and 5 link to a detailed description of the used source code, experiment scripts, generated data, and plotting tools. The website lists additional measurements that were cut from the paper due to space limitations (cf. Table II).

#### IX. CONCLUSION

Our paper shows that—in contrast to non-optimized systems—a carefully tuned system architecture meets the demanding latency and reliability requirements of future 5G URLLC services. Hardware-timestamped latency measurements of the entire network traffic, allow for a detailed analysis of worst-case latencies, bursty traffic, and system load. We measured a virtualized system running a real-world intrusion prevention system causing a worst-case latency of 116 µs on a steady-state system, leaving enough room for subsequent packet processing tasks. Further, we show bursty traffic causing short-time overloads violating the latency requirements and introduce a strategy to reduce its impact. By publicly releasing our experiment scripts and data we provide the foundation for others to reproduce all measurements described in this paper.

We introduce a model to predict system overload to avoid the destructive effect of overload on latency. The benefits of this model are its simplicity requiring only the median forwarding latency for IO and the interrupt processing times.

Despite the increase in power consumption (48% for a low-load and 4% for a high-load scenario), we demonstrate that off-the-shelf hardware and available open-source software can achieve consistently low latency. Relying on established hardware and tools simplifies the transition towards URLLC.

For future work, we want to investigate the impact of hosting different 5G service classes on the same system especially regarding potential QoS cross-talk and potential mitigation strategies.

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