

Chapter 2 Cryptography

2.5 Random Number
Generation for Cryptographic
Protocols



This course is based to a significant extend on slides provided by Günter Schäfer, author of the **book "Netzsicherheit - Algorithmische Grundlagen und Protokolle"**, available in German from **dpunkt Verlag**. The English version of the book is entitled "Security in Fixed and Wireless Networks: An Introduction to Securing Data Communications" and is published by Wiley is also available. We gratefully acknowledge his support.

The slides by Günter Schäfer have been partially reworked by Heiko Niedermayer, Ali Fessi, Ralph Holz and Georg Carle.

# Motivation

- It is crucial to security that cryptographic keys are generated with a truly random or at least a pseudo-random generation process (see subsequently)
- Otherwise, an attacker might reproduce the key generation process and easily find the key used to secure a specific communication
- Generation of pseudo-random numbers is required in cryptographic protocols for the generation of
  - Cryptographic keys
  - Nonces (Numbers Used Once)
- Example usages
  - Key generation and peer authentication in IPSec and SSL
  - Authentication with challenge-response-mechanism, e.g. GSM and UMTS authentication



## **Random Number Generators**

#### Definition:

A random bit generator is a device or algorithm which outputs a sequence of statistically independent and unbiased binary digits.

#### Remark:

- A random bit generator can be used to generate uniformly distributed random numbers
- e.g. a random integer in the interval [0, n] can be obtained by generating a random bit sequence of length  $\lfloor \lg_2 n \rfloor + 1$  and converting it into a number.
- If the resulting integer exceeds n it can be discarded and the process is repeated until an integer in the desired range has been generated.

# Entropy

- (c.f. Niels Ferguson, Bruce Schneier: Practical Cryptography, pp. 155ff)
- □ The measure for "randomness" is called "entropy"
- □ Let *X* a random variable which outputs a sequence of n bits
- The Shannon information entropy is defined by:

$$H(X) = -\sum_{x} P(X = x) \ln_{2}(P(X = x))$$

E.g. if all possible outputs are equally probable, then

$$H(X) = -\sum_{i=0}^{2^{n}-1} \left(\frac{1}{2^{n}}\right) \ln_2\left(\frac{1}{2^{n}}\right) = -2^{n} * \frac{1}{2^{n}} * (-n) = n$$

- □ A secure cryptographic key of length *n* bits should have *n* bits of entropy.
- □ If k from the n bits become known to an attacker and the attacker has no information about the remaining (n k) bits, then the key has an entropy of (n-k) bits
- A bits sequence of arbitrary large length that takes only 4 different values has only 2 bits of entropy
- Passwords that can be remembered by human beings have usually a much lower entropy than their length.
- Entropy can be understood as the average number of bits required to specify a bit-sequence if an ideal compression algorithm is used.



## **Pseudo-Random Number Generators (1)**

### Definition:

- A pseudo-random bit generator (PRBG) is a deterministic algorithm which, given a truly random binary sequence of length k ("seed"), outputs a binary sequence of length m >> k which "appears" to be random.
- The input to the PRBG is called the seed and the output is called a pseudo-random bit sequence.

#### Remarks:

- The output of a PRBG is not random, in fact the number of possible output sequences of length m with 2<sup>k</sup> sequences is at most a small fraction of 2<sup>m</sup>, as the PRBG produces always the same output sequence for one (fixed) seed
- The motivation for using a PRBG is that it is generally too expensive to produce true random numbers of length *m*, e.g. by coin flipping, so just a smaller amount of random bits is produced and then a pseudo-random bit sequence is produced out of the *k* truly random bits
- In order to gain confidence in the "randomness" of a pseudo-random sequence, statistical tests are conducted on the produced sequences



## **Pseudo-Random Number Generators (2)**

### Example:

• A linear congruential generator produces a pseudo-random sequence of numbers  $y_1, y_2, ...$  According to the linear recurrence

$$y_i = a \times y_{i-1} + b \text{ MOD } q$$

- with a, b, q being parameters characterizing the PRBG
- Unfortunately, this generator is predictable even when a, b and q are unknown, and should, therefore, not be used for cryptographic purposes



## Random and Pseudo-Random Number Generation (3)

- Security requirements of PRBGs for use in cryptography:
  - As a minimum security requirement the length k of the seed to a PRBG should be large enough to make brute-force search over all seeds infeasible for an attacker
  - The output of a PRBG should be statistically indistinguishable from truly random sequences
  - The output bits should be unpredictable for an attacker with limited resources, if he does not know the seed

### Definition:

A PRBG is said to pass all polynomial-time statistical tests, if no polynomial-time algorithm can correctly distinguish between an output sequence of the generator and a truly random sequence of the same length with probability significantly greater than 0.5

 Polynomial-time algorithm means, that the running time of the algorithm is bound by a polynomial in the length m of the sequence



## Random and Pseudo-Random Number Generation (4)

### Definition:

- A PRBG is said to pass the next-bit test, if there is no polynomial-time algorithm which, on input of the first m bits of an output sequence s, can predict the  $(m+1)^{st}$  bit  $s_{m+1}$  of the output sequence with probability significantly greater than 0.5
- □ Theorem (universality of the next-bit test):

A PRBG passes the next-bit test



it passes all polynomial-time statistical tests

For the proof, please see section 12.2 in [Sti95a]

### Definition:

 A PRBG that passes the next-bit test – possibly under some plausible but unproved mathematical assumption such as the intractability of the factoring problem for large integers – is called a *cryptographically secure* pseudo-random bit generator (CSPRBG)



## **Hardware-Based Random Number Generation**

- Hardware-based random bit generators are based on physical phenomena, as:
  - elapsed time between emission of particles during radioactive decay,
  - thermal noise from a semiconductor diode or resistor,
  - frequency instability of a free running oscillator,
  - the amount a metal insulator semiconductor capacitor is charged during a fixed period of time,
  - air turbulence within a sealed disk drive which causes random fluctuations in disk drive sector read latencies, and
  - sound from a microphone or video input from a camera
- A hardware-based random bit generator should ideally be enclosed in some tamper-resistant device and thus shielded from possible attackers



## **Software-Based Random Number Generation**

- Software-based random bit generators, may be based upon processes as:
  - the system clock,
  - elapsed time between keystrokes or mouse movement,
  - content of input- / output buffers
  - user input, and
  - operating system values such as system load and network statistics
- Ideally, multiple sources of randomness should be "mixed", e.g. by concatenating their values and computing a cryptographic hash value for the combined value, in order to avoid that an attacker might guess the random value
  - If, for example, only the system clock is used as a random source, than an attacker might guess random-numbers obtained from that source of randomness if he knows about when they were generated
- Usually, such generators are used to initialize PRNGs, i.e. to set their seed.



## **De-skewing**

- □ Consider a random generator that produces biased but uncorrelated bits, e.g. it produces 1's with probability  $p \neq 0.5$  and 0's with probability 1 p, where p is unknown but fixed
- □ The following technique can be used to obtain a random sequence that is uncorrelated and unbiased:
  - The output sequence of the generator is grouped into pairs of bits
  - All pairs 00 and 11 are discarded
  - For each pair 10 the unbiased generator produces a 1 and for each pair 01 it produces a 0
- Another practical (although not provable) de-skewing technique is to pass sequences whose bits are correlated or biased through a cryptographic hash function such as MD-5 or SHA-1



## **Statistical Tests for Random Numbers**

- The following tests allow to check if a generated random or pseudorandom sequence inhibits certain statistical properties:
  - Monobit Test: Are there equally many 1's as 0's?
  - Serial Test (Two-Bit Test): Are there equally many 00-, 01-, 10-, 11-pairs?
  - Runs Test: Are the numbers of runs (sequences containing only either 0's or 1's) of various lengths as expected for random numbers?
  - Autocorrelation Test: Are there correlations between the sequence and (non-cyclic) shifted versions of it?
  - Maurer's Universal Test: Can the sequence be compressed?
- □ The above descriptions just give the basic ideas of the tests. For a more detailed and mathematical treatment, please refer to sections 5.4.4 and 5.4.5 in [Men97a]

## **Examples for PRNGs**

- Linear Congruential Generator
  - $X_{n+1} = (a X_n + b) \mod m$
  - Very fast, but not suitable for cryptography!
- Suitable for cryptography
  - Blum Blum Shub
- On the basis of symmetric encryption
  - Output of block cipher in OFB or CTR mode
  - Output of a stream cipher (e.g. RC4, Salsa20)
    - Stream cipher = symmetric cipher that produces a random bitstream to be XORed with the plaintext
- On the basis of a cryptographic hash function
  - Iterate using hash function and seed, e.g.
    - $X_0 = seed$
    - $X_{i+1} = H(X_i \mid seed)$



## **Addtional References**

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